PTO/SB/11 (10-96)

ERIFIED STATEMENT CLAIMING (37 CFR 1.9(f) & 1.27(d))-NONPRO	SMALL ENTITY ST OFIT ORGANIZATION	TATUS	Docket Number IB-1064
Applicant or Patentee:	Michael J. Simir	novitch	
Application or Patent No.:	unassigned		
File or Issued:	October 8, 1998 High Efficiency Source Coupler For Optical Waveguide Illumination System		
Title:			
I hereby declare that I am an official	empowered to act on l	pehalf of the nonprofit organization identified below:	
NAME OF NONPROFIT OF ADDRESS OF NONPROFI		The Regents of the University of California 1111 Franklin Street, Fifth Floor Oakland, California 94607-5200	
TYPE OF NONPROFIT ORGANIZ	ATION:		
MUNIVERSITY OR OT	HER INSTITUTION C	F HIGHER EDUCATION	
37 CFR 1.9(e) for purposes of	paying reduced fe	nization identified above qualifies as a nonprofit organization es to the United States Patent and Trademark office regarding Optical Waveguide Illumination System by inventor Michael	g the invention
	led October 8, 199	8 with title as listed above.	
regarding the above identified concern or organization havin entities and that no rights to independent inventor under 3	I invention. If the ring rights in the invention are to Terminary the invention are to Terminary in that	act or law have been conveyed to and remain with the nonproghts held by the nonprofit organization are not exclusive, ention must file separate verified statements averring to their sheld by any person, other than the inventor, who would not person made the invention, or by any concern which would renonprofit organization under 37 CFR 1.9(e).	each individual, status as small t qualify as an
Each person, conce	rn, or organization l	having any rights in the invention is listed below:	
Ø	concern or organiz	ation exists	

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	Paul R. Martin	
TITLE IN ORGANIZATION OF PERSON SIGNING	Manager, Patent Department	
ADDRESS OF PERSON SIGNING	Lawrence Berkeley National Laboratory 1 Cyclotron Road — MS 90-1121 Berkeley, CA 94720	
SIGNATURE SIGNATURE	Date October 8, 1998	

Burden Hour Statement: This form is estimated to take 0.3 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, Patent and Trademark Office, Washington, DC 202331. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.



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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(d))-NONPROFIT ORGANIZATION		Docket Number
		IB-1064
Applicant or Patentee: 'Application or Patent No.:	Michael J. Siminovitch	
	unassigned	
File or Issued:	October 8, 1998	
Title:	High Efficiency Source Coupler For Optical Waveguide Illumination System	<u> </u>
File or Issued:	October 8, 1998	<u> </u>

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION ADDRESS OF NONPROFIT ORGANIZATION The Regents of the University of California 1111 Franklin Street, Fifth Floor Oakland, California 94607-5200

PTO/SB/11 (10-96)

TYPE OF NONPROFIT ORGANIZATION:

MUNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark office regarding the invention described in: High Efficiency Source Coupler For Optical Waveguide Illumination System by inventor Michael J. Siminovitch

Athe specification filed October 8, 1998 with title as listed above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

⊠no such person, concern, or organization exists.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	Paul R. Martin	
TITLE IN ORGANIZATION OF PERSON SIGNING	Manager, Patent Department	
ADDRESS OF PERSON SIGNING	Lawrence Berkeley National Laboratory 1 Cyclotron Road — MS 90-1121 Berkeley, CA 94720	
SIGNATURE HALL Mark	Date October 8, 1998	

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